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PTO/SB/088 (08-00)
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U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/017,368
Filing Date	December 13, 2001
First Named Inventor	Mark Moriconi
Group Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	PA1682US

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
YF		BANKERS TRUST, "Authorization Manager- User Guide," Copyright February 1997 Bankers Trust. Print Date: August 13, 1997.	
		BANKERS TRUST, "Security Instruction Services- User Guide," Copyright February 1997 Bankers Trust. Print Date: October 1, 1997.	

Examiner
Signature

Date
Considered

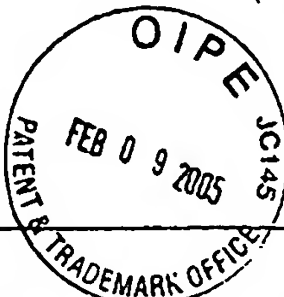
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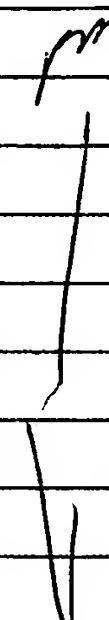

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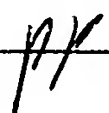
¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

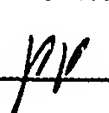
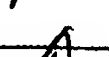



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Form PTO-1449 (Substitute) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Information Disclosure Statement BY APPLICANT (Use several sheets if necessary)				Attorney Docket Number BEAS-01453US3		Serial/Patent Number 10/017,368	
				Applicant/Patent Owner Mark Mariconi			
				Filing/Issue Date December 13, 2001		Group Art Unit 2134	



U.S. PATENTS							
Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date	
	5,848,396	12/08/98	Gerace	705	10	04/26/96	
	6,029,182	02/22/00	Nehab et al.	345	356	07/17/98	
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	6,269,393 B1	07/31/01	Yost et al.	709	201	06/19/00	
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Examiner Initial	Patent Application Publication Number	Publication Date	Applicant
	2002/0069261 A1	06/06/02	Bellare et al.
	2002/0173971 A1	11/21/02	Stirpe et al.

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	Sundsted, Todd, "JNDI Overview, Part 1: An Introduction to Naming Services", JavaWorld, Jan. 2000, pp. 1-6, (downloaded from: www.javaworld.com/javaworld/jw-01-howto_p.html).
	Moore, Bill et al., "Migrating Weblogic Applications to WebSphere Advanced Edition", IBM Redbooks, Jan 2001, pp. 1,3-4, 109-111 and 181-195.
	Barrett, Alexandra, "Trying Out Transactions", SunExpert Magazine, Jan. 1999, pp. 57-59.
	Ayers, Danny, et al., Professional Java Server Programming, Wrox Press, Ltd., Birmingham, UK, Dec. 1999, pp. 515-545.
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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Mark Moriconi et al.

SERIAL NO.: 10/017,368

FILED: December 13, 2001

TITLE: System and Method for Maintaining Security in a Distributed Computer Network

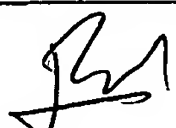
EXAMINER: Unknown

GROUP ART UNIT: 2131

ATTY.DKT.NO.: PA1682US

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

**List of Co-Pending Patent Applications That May Be Directed
Towards Similar Subject Matter**

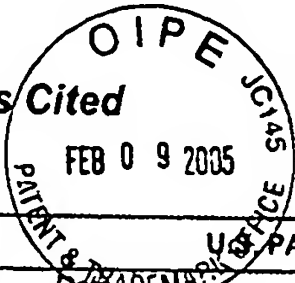
Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
1	09/721,557	Mark Moriconi	System and Method for Maintaining Security in a Distributed Computer Network	11/22/2000
Examiner's Signature:				Date 4/25/05

60/283,977
60/306,509

BEAS-01081051

10/017368

Notice of References Cited	Application/Control No. 10/122,599	Applicant(s)/Patent Under Reexamination SMITH ET AL.	
	Examiner Robert M Stevens	Art Unit 2176	Page 1 of 2



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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
PP	A	US-6,587,849	07-2003	Mason et al.	707/5
	B	US-6,269,393	07-2001	Yost et al.	709/201
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	D	US-6,241,608	06-2001	Torango, Lawrence J.	463/27
	E	US-6,157,924	12-2000	Austin, Pamela Sue	707/10
	F	US-6,029,182	02-2000	Nehab et al.	715/523
	G	US-6,754,672	06-2004	McLauchlin, Andrew William	707/104.1
	H	US-2002/0173971	11-2002	Stirpe et al.	705/1
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	J	US-6,539,375	03-2003	Kawasaki, Charles	707/5
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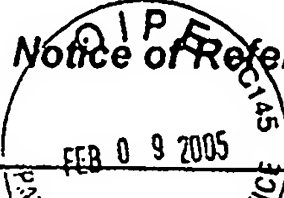
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
PP	N				Fliesler, Meyer LLP	
	O				File: <u>BEAS-01453453</u>	
	P				Action Item: <u>Suppl. Info. Disc.</u>	stmr
	Q				Date Due: <u>February 1, 2005</u>	
	R				Critical Date: <u>February 1, 2005</u>	
	S				Attorney Path: <u>Sam/EEK</u>	
	T				Docketed By: <u>JCM</u>	
					Verified By: <u>mark</u>	

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
PP	U	Sundsted, Todd, "JNDI Overview, Part 1: An Introduction to Naming Services", JavaWorld, Jan. 2000, pp. 1-6, (downloaded from: www.javaworld.com/javaworld/jw-01-2000/jw-01-howto_p.html).
	V	Moore, Bill, et al., "Migrating WebLogic Applications to WebSphere Advanced Edition", IBM Redbooks, Jan. 2001, pp. 1, 3-4, 109-111 and 181-195.
	W	Barrett, Alexandra, "Trying Out Transactions", SunExpert Magazine, Jan. 1999, pp. 57-59.
	X	Ayers, Danny, et al., Professional Java Server Programming, Wrox Press, Ltd., Birmingham, UK, Dec. 1999, pp. 515-545.

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Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

10/017368

<div style="text-align: center;">  </div>	Application/Control No. 10/122,599	Applicant(s)/Patent Under Reexamination SMITH ET AL.	
	Examiner Robert M Stevens	Art Unit 2176	Page 2 of 2

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
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	H	US-			
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	J	US-			
	K	US-			
	L	US-			
	M	US-			

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

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*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)			
	U	Ford, Nigel, Web Developer.com Guide to Building Intelligent Web Sites with JavaScript, Wiley Computer Publishing, New York, NY, © 1998, pp. 65-86, 96-98, 101-102, 245-250 and 324-327.			
	V	Microsoft Computer Dictionary, 4th Edition, Microsoft Press, Redmond, WA, © 1999, p. 489.			
	W				
	X				

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/017,368	
			Filing Date	December 13, 2001	
			First Named Inventor	Mark Moriconi	
			Group Art Unit	2131	
			Examiner Name	Unknown	
Sheet	1	of	2	Attorney Docket Number	PA1682US

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
PP		5,265,221		Donald V. Miller	11-23-1993	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	4/26/05
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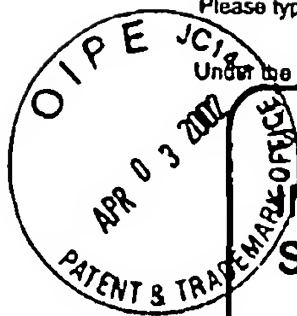
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² Unique citation designation number. ³ See attached Kinds of U.S. Patent Documents. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

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Application Number	10/017,368
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First Named Inventor	Mark Moniconi
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Examiner Name	Unknown
Attorney Docket Number	PA1682US

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
PP		Website of ENTITLENET, INC., URL: www.entitlenet.com/ Publication Date Unknown, Download Date: 11-17-2000	
		JOHN FIELD, "From Authorization to Transactional Entitlement," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
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Date
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4/25/05

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